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# **Graphical Abstract**

# Variation of Contact Resonance Frequency during Domain Switching in DART-PFM Measurements for Ferroelectric Materials

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# Variation of Contact Resonance Frequency during Domain Switching in PFM Measurements for Ferroelectric Materials

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KEYWORDS: ferroelectricity, Piezoresponse Force Spectroscopy, contact resonance frequency, dual AC resonance tracking, domain switching, damping harmonic oscillator model

ABSTRACT: Piezoresponse Force Spectroscopy (PFS) is a powerful technique widely used for measuring the nanoscale electromechanical coupling of the ferro-/piezo-electric materials. However, it is found that certain non-ferroelectric materials can also generate the "hysteresis-loop-like" responses from the PFS measurements due to many other factors such as electrostatic effects. This work therefore studies the signal of the contact resonance frequency during the PFS measurements. By comparing the

results from ferroelectric and non-ferroelectric materials, it is found there are distinct differences between these two types of materials in the variation of the contact resonance frequency during the PFS measurements. A momentary and sharp increase of the contact resonance frequency occurs when the domain is switched by applying the DC bias, which can be regarded as a unique characteristic for the ferroelectric materials. After analyzing the reliability and mechanism of the method, it is proposed that the contact resonance frequency variation at the coercive bias is capable to differentiate the electromechanical responses of the ferroelectric and non-ferroelectric materials during the PFS measurements.

# INTRODUCTION

Development and applications of the ferroelectric materials have been one of the most active topics for decades. Due to the unique characteristics of spontaneous polarization, ferroelectric materials have been used in a wide range of applications, such as sensors, actuators and memory devices [1,2]. Developing new ferroelectric materials has great significances for research and applications in the area of functional materials [3,4]. To study the ferroelectric behavior at nanoscale, Piezoresponse Force Microscopy (PFM) and its spectroscopy form, Piezoresponse Force Spectroscopy (PFS), are widely used in the last decades [5–9]. As the premier characterization tools for domain structures and orientation as well as nano-scale properties of the ferroelectric materials, PFM and PFS techniques can probe time- or voltage-dependent phenomena with high spatial resolution [8,10–12]. In the PFS measurements, the surface of the sample contacts with a sharp conductive tip at the end of a PFM cantilever. After applying excitation of DC pulse from the tip to the sample surface, the local polarization switching may occur and can be detected by the same tip. Because of the nonlinear

piezoelectric responses, the *PR* curve forms a closed hysteresis loop under the cyclic DC voltage sweeping, which is regarded as a general electromechanical response from the ferroelectric materials [13]. The shape of an electromechanical hysteresis loop depends on the properties of the material and the experimental conditions [14]. Therefore, yielding a hysteresis loop in the PFS measurement in the off-field is generally a well-recognized evidence for ferroelectricity on the range from nanoscale to macroscale [5,8,14].

However, the measurements of the local ferroelectric responses can be affected by a number of factors [15]. Besides the polarization-electric field (P-E) relationship, the electrostatic force between the tip and sample surface [16], surface charging [9,17,18], Vegard effect [19] and ionic mechanisms [20– 22] can also induce the "hysteresis-loop-like" responses in which are similar to the P-E loops obtained in ferroelectric materials during the PFS measurements. Therefore, such "hysteresis-loop-like" behaviors can also be observed in a broad variety of non-ferroelectric materials during the PFS measurements, for example, glass [23], LiCoO<sub>2</sub> [20], TiO<sub>2</sub> [24] and even banana peel [25]. It is therefore believed that the hysteresis loop obtained by PFS is insufficient as the only proof of the ferroelectricity [26]. Due to these facts, numbers of other methods to probe the local ferroelectric phenomena have been developed in the recent years. These methods usually introduce different techniques other than PFS (or its mapping technique as Switching Spectroscopy Piezoresponse Force Microscopy, SS-PFM) to investigate the ferroelectric characteristics. For example, optical second harmonic generation (SHG) can differentiate ferroelectric and magnetic phase transitions by using the light beams with different incident wavelengths [27,28]. Ultraviolet Raman Spectroscopy [29] and unitcell scale mapping [30] also provide the evidence for nanoscale ferroelectricity. On the other hand, contact Kelvin Probe Force Microscopy (cKPFM) [31] and frequency dependent PFM [32] are developed as the effective new measurements to differentiate the true ferroelectricity contributions with the combination of hysteresis loops in PFS measurements. Furthermore, various techniques with higher harmonic frequencies are also developed to distinguish the responses from the ferroelectric and non-

ferroelectric materials [19]. Most of those experimental techniques are relatively complicated and require new set-ups, methods or analysis, because the PFM/PFS technique alone is insufficient to determine if the responses are real ferroelectric for an unknown material. On the other hand, almost all of the PFS (or SS-PFM) studies only analyze the amplitude and the phase angle changes induced by the external electric field, while other parameters during the PFS measurements are largely ignored. Especially, the contact resonance frequency ( $f_0$ ) and quality factor (Q) obtained during the PFS measurements are not carefully considered in the analysis published so far.

In this study, we first report that the contact resonance frequency signal,  $f_0$ , shows a unique pattern in ferroelectric materials whereas the non-ferroelectric materials do not show such pattern. It is therefore believed that such unique pattern may be related to the mechanical properties of ferroelectric materials. Hence, a simple yet effective method is proposed based on the changes of  $f_0$  during the PFS measurements, which can be used to simply differentiate ferroelectric material and non-ferroelectric material with hysteresis-like loops. In addition, the artifacts analysis validates that the variation of contact resonance frequency in the PFS measurements is a stable and significant feature for ferroelectric materials. This method also provides a new perspective to understand the PFS signals and the properties of ferroelectric materials.

### MATERIALS AND EXPERIMENTS

In this work, three groups of eight materials were tested, including four ferroelectric materials, two non-ferroelectric materials with PFS measured hysteresis loops, and two non-ferroelectric materials without any hysteresis loops can be measured from the PFS experiments. The ferroelectric materials included Pb(Zn<sub>1/3</sub>Nb<sub>2/3</sub>)O<sub>3</sub>–9%PbTiO<sub>3</sub> (PZN–PT) single crystals, hybrid polymeric–metallic (PVDF–Ag) composite, BiFeO<sub>3</sub> (BFO) and 2%Cu-doped ZnO film. The preparations of the PZN-PT, PVDF-Ag and ZnO samples were described in the previous studies [33–35]. The preparation of the BFO sample

was discussed in Ref. [36]. The non-ferroelectric samples were glass and banana peel. The glass sample was a glass cover slip (type:72210-10, Electron Microscopy Science, USA). The banana peel sample was sliced from a fresh banana's outside surface and dried for 12 hours. The pure silicon (Si) sample was a commercial material (Silicon Valley Microelectronics, USA). The Poly(methyl methacrylate) (PMMA) sample was also commercially available material (Goodfellow Ltd, UK).

The PFS measurements were conducted using a commercial SPM system (MFP-3D, Oxford Instruments, CA, USA), in Dual-AC Resonance Tracking (DART) mode. Two types of tips were used for measurements on different materials. The SPM tips used in the PFS measurements and their information are listed in Table 1.

SPM Tip	Resonance Frequency $(f_c)$ /kHz	Spring Constant $(k_c) / (N/m)$	Sample
			PZN-PT
PPP-NCSTPt			BFO
(Nanoworld,	~160	~7.4	Glass
Switzerland)			Banana peel
			PMMA
240AC-PP			PVDF-Ag
(Nanoworld,	~70	~2	ZnO
Switzerland)			Si

	Table 1.	SPM Tips used	l in this study an	d their information	(by manufacturer)
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# RESULTS

# Variation of $f_{\theta}$ during the PFS measurements

In order to investigate the relationships between the piezoresponse (*PR*) and  $f_0$  in ferroelectric and non-ferroelectric materials, we first re-plot the data as *PR* versus  $f_0$  plots. In this plot, the x-axis is

*PR* responses based on experimentally obtained PFS amplitude and phase angle) and the y-axis is  $f_0$ . The local off-field hysteresis loops and amplitude loops of the ferroelectric materials can be seen in Figs. 1(a), (c), (e) and (g). The signal of amplitude is mainly affected by the deformation of the sample surface due to the bias field. The relationships between off-field *PR* and  $f_0$  of these materials are shown in Figs. 1(b), (d), (f) and (h). It is illustrated that, in the ferroelectric materials including the Cu-doped ZnO, when the applied DC voltage reaches the coercive bias,  $f_0$  jumps to a notably high value suddenly; and after the coercive bias,  $f_0$  reverts to the values as before. This pattern occurs twice in a bias cycle at the two coercive biases. The data, including  $A_1$ ,  $f_1$ , DHO calculated  $\phi_d$ ,  $A_1/A_2$  ratio and the DART frequency width (defined as  $f_2$ - $f_1$ , and this DART frequency width is termed as DFW in this paper), is shown in Fig. S1 (Supporting Information, SI). In short, all of the ferroelectric materials tested here show two sharp peaks in the *PR*- $f_0$  curves at the position where *PR* nearly equals to zero.



**Fig. 1.** PFS amplitude loop (measured at off-field) and calculated hysteresis loop for ferroelectric materials: (a) PZN-PT, (c) BFO, (e) PVDF-Ag and (g) Cu-doped ZnO. Contact resonance frequency as function of calculated piezoresponse (*PR-f<sub>0</sub>*) for (b) PZN-PT, (d) BFO, (f) PVDF-Ag and (h) Cu-doped ZnO at off-field. The red dots in (b), (d), (f) and (h) highlight the peak positions in the *PR-f<sub>0</sub>* loop. The points marked by red dots in (a), (c), (e) and (g) show the corresponding *PR* and amplitude where the contact resonance frequencies reach to the peak values, respectively.



**Fig. 2.** PFS amplitude loop (measured at off-field) and calculated hysteresis-like loops for some nonferroelectric materials with PFS measured amplitude and phase loops: (a) banana peel and (c) glass. Contact Resonance Frequencies as function of calculated piezoresponse ( $PR-f_0$ ) loops for (b) glass and (d) banana peel measured at off-field. Note there are no contact resonance frequency peaks and any regular patterns for the curves in those materials.

It is known that some non-ferroelectric materials also demonstrate ferroelectric-like hysteresis loops and amplitude loops during the PFS measurements, such as glass and banana peel, which can be seen in Figs. 2(a) and (c). The corresponding *PR*- $f_0$  curves are shown in Figs. 2(b) and (d). Obviously, the *PR*- $f_0$  curves are significantly different between the ferroelectric materials and the non-ferroelectric materials. Most importantly, no  $f_0$  peaks are observed in the PFS measurements of the glass and banana peel samples at the positions around their "coercive bias". Each of the non-ferroelectric material has random  $f_0$  signals. Similarly, more data of  $A_1$ ,  $f_1$ , DHO calculated  $\phi_d$ ,  $A_1/A_2$  ratio and different DFWs is shown in Fig. S2 (SI). In other words, despite the observed ferroelectric-like amplitude and phase loops, non-ferroelectric materials show obvious different  $f_0$  signals during the PFS measurements.

Furthermore, we also conducted the PFS measurements on two other non-ferroelectric materials (bulk PMMA and Si), which can be seen in Fig. S3 (SI); however, the PFS measurements cannot get any hysteresis-like loops in these two materials. As expected, the  $PR-f_0$  curves are highly random and no peaks can be observed. Their behaviors during the PFS measurements are also clearly different from that of the ferroelectric materials.

To further understand the effect of DART for the PFS measurements, Fig. 3 shows the PFS measurements on PZN-PT, BFO and glass with different DFWs. The corresponding amplitude and *PR* can be seen in Fig. S4 (SI). All of those materials can obtain the hysteresis loops of the piezoresponse and "butterfly-shape" amplitude loop during the PFS measurements (Fig. 3). The tuned peaks of three materials can be seen in Fig. S5 (SI). It is shown that, for the three materials, the full width at half maximum (FWHM) of the tuned peaks are around 12 kHz. As the typical ferroelectric materials, PZN-PT and BFO show the similar behavior, i.e., the  $f_0$  peaks occur at different DFWs. At the small DFW,  $f_0$  peaks from PZN-PT and BFO are not as distinctive as that at the larger DFW, but the peaks still exist. However, for glass, there are no peaks at any DFW.



**Fig. 3.** Contact resonance frequency ( $f_0$ ) at different DFWs of the DART measurements for (b) PZN– PT, (c) BFO, and (d) glass. (a) Bias-cycle plot shows the applied DC bias in the PFS measurements on three samples. Each sample experiences an entire cycle, but only the data in the <sup>3</sup>/<sub>4</sub> partial (blue line in (a)) is shown here in order to observe peaks clearly. The same tip is used among different DFW measurements on each material.

In the pulsed DC mode, piezoresponses are measured respectively when the switching DC bias is on (on-field) and off (off-field) [37]. At the on-field, the applied DC voltage induces the piezoelectric motion of the domain and domain walls, and then ferroelectric materials keep this stable status at the following off-field. The off-field signal is usually considered as the clear response of the tip-sample interaction without the influences from strong DC field-induced tip-sample electrostatic interaction. Generally-speaking, the PFS measurements can obtain the variation of amplitude and the phase angle as functions of the DC bias [38]. In order to investigate the electrostatic effects on the  $f_0$  signals, the comparison between the off-field signals and the on-field signals is shown in Fig. 4. By plotting the bias- $f_0$  relationship, the bias induced  $f_0$  peaks can be clearly seen. For ferroelectric materials, in Figs. 4(a) to (d), the applied electric field significantly affects the  $f_0$ , changing the position and the height of

the peaks. However, for non-ferroelectric materials, in Figs. 4(e) and (f), the off-field and on-field curves are highly similar, with no peak can be found. Hence, it is obvious that the hysteresis loops of glass and banana peel are not associated with the "domain motion". The main factors which contribute to the hysteresis-like loops in those materials may be the electrostatic effects, presumably by the similarity between the off-field and on-field  $f_0$  signals during the PFS measurements.



**Fig. 4.** Comparison between the off-field (red line) and the on-field (green line) bias- $f_0$  curves. Four ferroelectric materials, (a) PZN-PT, (b) BFO, (c) PVDF-Ag and (d) ZnO, are involved; two non-ferroelectric materials, (e) banana peel and (f) glass, are involved. Note that, the bias- $f_0$  curves from non-ferroelectric materials demonstrate highly similarity between off-field and on-field, whereas it is different from ferroelectric materials.

Furthermore, ten (10) cycles of PFS measurements have been conducted on both ferroelectric and non-ferroelectric materials to test the endurance of the  $f_0$  signals. The wavelets analysis (by using MATLAB) is used to remove the details in  $f_0$  and only focus on the main trend of  $f_0$  signals as functions of PFS cycles. The sixth order approximation signals after Wavelet Daubechies (db4) transform are shown in Fig. 5 for ferroelectric and non-ferroelectric materials. Daubechies Wavelets, known as "compact support orthogonal wavelets", in which can decompose data into approximations and details without gap or overlap, is used to detect or filter the nonlinear or instantaneous response signal processing [39]. To obtain the clear trend of each sample and compare them, the signals have been normalized by the initial value of the time sequence during the PFS measurements. In all the cases, the  $f_0$  signals are unstable in the first cycle, but they tend to be stable after 2 or 3 cycles. For glass and banana peel in the PFS measurements, the endurance of  $f_0$  are similar to PMMA and Si which do not show hysteresis loops of piezoresponse in the ten cycles.



**Fig. 5.** Analysis of the trend of the contact resonance frequency ( $f_0$ ) curves for both ferroelectric and non-ferroelectric materials by using wavelet transformation: 10 cycles of PFS measured  $f_0$  signals (measured at off-field) after 6<sup>th</sup> approximation of the wavelets transform. The solid lines represent three individual tests on PZN-PT (ferroelectric material) samples. The dash lines represent the test data on non-ferroelectric materials of glass, banana, PMMA and Si, respectively. Note that the trend of the contact resonance curves of PZN-PT, BFO and PVDF-Ag show increasing continuously with the testing cycles, whereas, for non-ferroelectric materials, after the initial cycle, the trend of  $f_0$  curves become independent of the testing cycles. The wavelet analysis is performed by using MATLAB (R2016b).

Because the PFS measurement is a local measurement, we conduct the repetitive measurements at five randomly selected locations, and the PR- $f_0$  curves from PZN-PT, BFO, PVDF-Ag, ZnO, glass, and banana peel are shown in Fig. S6 (SI). In addition, we also conduct the PFS mapping on two typical ferroelectric materials, PZN-PT and BFO, to prove the robustness of the variation of  $f_0$ . The PFS maps and the statistical results are shown in Fig. S7 (SI). The PR- $f_0$  loops with various sampling points obtained from PVDF are shown in Fig. S8 (SI). The supplementary experiments and results also illustrate the stability of the ferroelectric-related  $f_0$  variation during the PFS measurements.

#### Analysis of artifacts

In the PFS measurements, dual AC resonance tracking (DART) technique modulates the tipsample contact at two frequencies ( $f_1$  and  $f_2$ ) where  $f_0$  is located between the two. Each carrier frequency ( $f_1$  or  $f_2$ ) has the corresponding amplitude ( $A_1, A_2$ ) and phase ( $\phi_1, \phi_2$ ). Hence,  $f_0$  can be calculated from the measurements of  $A_1, A_2, \phi_1$  and  $\phi_2$  [40]. According to the DHO model [41], the contact frequency  $f_0$ can be expressed as:

$$f_0 = \sqrt{f_1 f_2 \frac{f_2 X_1 - f_1 X_2}{f_1 X_1 - f_2 X_2}},$$
(1)

where

$$X_{1} = -\frac{1 - \text{sgn}(\Phi)\sqrt{1 + \Phi^{2}} / \Omega}{\Phi}, X_{2} = \frac{1 - \text{sgn}(\Phi)\Omega\sqrt{1 + \Phi^{2}}}{\Phi},$$
(2)

and

$$\Omega \equiv \frac{f_1 A_1}{f_2 A_2}, \Phi \equiv \tan(\phi_1 - \phi_2).$$
(3)

In the DART-PFM measurements, it generally sets  $A_1 = A_2$ , hence,  $\Omega = f_1/f_2$ . Due to the fact that the width between  $f_1$  and  $f_2$  is a pre-set constant,  $X_1$  and  $X_2$  are related to the phase and frequency, except the amplitude. However,  $A_1 = A_2$  is not the necessary condition in the DHO fitting [42]. In our experiments, the PFS measurements (performed by using MFP-3D, Oxford Instruments, CA, USA) actually use a constant ratio  $(A_1/A_2)$  instead of  $A_1 = A_2$  as a feedback to adjust the values of  $f_1$  and  $f_2$ . For a certain  $A_1/A_2$  ratio, the calculation of  $f_0$  can be described as  $f_0(f_1, \Phi)$ . Fig. 6(a) shows the calculated DHO fitting models at the fixed ratio of  $A_1/A_2 = 0.36$ ; the calculations at other  $A_1/A_2$  ratios are shown in Fig. S9 (SI). Note that the function,  $f_0(f_1, \Phi)$ , is not a continuous function, and  $f_0$  goes to a peak point at  $\Phi = 0$ . When  $\Phi \rightarrow +0$ ,  $f_0$  is quickly rising to its peak value. When  $\Phi \rightarrow -0$ ,  $f_0$  is then dropping. For ideal model,  $f_0$  can be accurately calculate at any  $\Phi$ .

Compared with this simulated calculation, we also observe the relationship between  $f_1$  and  $f_0$  and the two signals of phase ( $\phi_1$  and  $\phi_2$ ) which are showed in Figs. 6 (b) and (c), and the table beside show the values of bias,  $f_0$ ,  $\Phi$ ,  $\phi_2$ - $\phi_1$  of the four peaks, and the average value of all points from the measurements on BFO. The results obtained from other materials can be seen in Fig. S10 (SI). It is clear that, for ferroelectric materials,  $\phi_2$ - $\phi_1$  at all the peak positions are much lower than the average values. It illustrates that there is an obvious  $f_0$  increase occurring in such a short time that the DART system cannot react in time to keep  $f_0$  in the middle of dual frequencies. Therefore,  $\phi_2 - \phi_1$  becomes small but larger than zero (if it is less than zero,  $f_0$  is missing after DHO calculation), which means  $f_0$  goes to a larger value than the two tracking frequencies ( $f_1$  and  $f_2$ ) at the coercive bias. In spite of the slow tracking system, in some cases, the instantaneous increase of  $f_0$  also can be captured by the feedback system. In Fig. S1(b) (SI),  $f_1$  of PZN-PT shows two clear peaks at the coercive biases. After DHO fitting,  $f_0$  always shows a clear peak at the position of coercive bias for ferroelectric materials. It can be interpreted as that  $f_1$  and  $f_2$ are at the same side of the resonance frequency. In other words,  $f_0$  jumps to larger than  $f_2$  when the domain is switched. For non-ferroelectric materials,  $\phi_2 \cdot \phi_1$  values are far away from zero, and  $f_1 \cdot f_0$  obeys the linear relationship. It means that, despite of the quick phase flipping [Fig. S2(c)], the DART system works stably, and  $f_0$  do not show a large variation. To emphasize, all of the ferroelectric materials including Cu-doped ZnO, show significant reduction of  $\phi_2 \cdot \phi_1$  during the polarization switching, and this should not be attributed by the machine noise or PFM artifacts.

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**Fig. 6.** (a) The  $f_0$  values from simulated DHO model as functions of  $f_1$  and  $\Phi$ . The ratio of  $A_1/A_2$  is 0.36. At  $\Phi = 0$ ,  $f_0$  shows a significant peak value.  $f_1$ - $f_0$  relationship obtained from experiments for (b) BFO and (c) banana peel. Two cycles of the PFS measurements have been conducted on each sample; therefore, four peaks should appear in (a), which have been marked by pink dots and numbers indicating the order of the appearance. The bias,  $f_0$ ,  $\Phi$ , and  $\phi_2$ - $\phi_1$ , of four peaks and the average value of all points can be seen in the right table of (b).

Another scanning mode SPM technique, contact resonance Atomic Force Microscopy (CR-AFM), tracks the contact resonance frequency as an indicator of the mechanical properties [43,44].  $f_0$  in the PFS measurements is also affected by the mechanical properties, though the contact mechanism is more complicated than that of the CR-AFM. It is anticipated that the difference of mechanical properties between the ferroelectric materials and non-ferroelectric materials can be reflected on the  $f_0$  signals.

Furthermore, the effects of tracking errors (TEs) is analyzed at different DFWs using the analysis published by Bradler et al. TE and normalized TE is defined by Eqs.(S1) and (S2) (SI) [45].

Fig. S11 (SI) shows the time vs TE plots, which illustrates that the large DFW reduces TE. For both the ferroelectric and non-ferroelectric samples, the normalized TEs are usually around 0.4 if the DFW is larger than the FWHM. From the simulation results in the literature [45], it is known that the large TEs significantly affect the signals of  $A_0$  and Q in the DART measurements; but for  $\phi_d$  and  $f_0$ , the influence is small enough to be ignored. To confirm this, we plot the TE vs peak height as showed in Fig. S12 (SI). The results show that the  $f_0$  peak height depends on the DFW but almost independent of the TE, which agrees with the literature report [45]. It is therefore believed that setting large DFW is more likely to accurately track the true  $f_0$  values with a sudden jump when the local polarization is switching. This result also agrees with the study by Gannepalli et al. which reported the larger DFW increases the robustness of the contact resonance frequency tracking, especially when a sudden jump occurs [40]. Therefore, the obvious  $f_0$  peaks occurring at large DFWs for ferroelectric materials prove that the observed pattern is not caused by artifacts in PFM during tracking or DHO fitting.

#### DISCUSSION

The contact resonance frequency in SPM is mainly related to the mechanical properties of the cantilever and the tip-sample contact stiffness [40,46,47]. During the PFM measurements, the oscillation of cantilever is indirectly driven by the AC bias-induced sample surface oscillation [43,48,49]. Hence, the instantaneous position of the tip in the vertical direction (z), obeys the driven damped harmonic oscillator equation as following [48]:

$$m_c \frac{d^2 z}{dt^2} = -k_c z - c_c \frac{dz}{dt} + F_{st} + F_d \cos \omega_d t$$
(4)

where  $F_d$  and  $\omega_d$  are the amplitude and the angular frequency of the excitation force, respectively;  $m_c$ ,  $k_c$ and  $c_c$  are the effective mass, the spring constant, and the viscous damping coefficient of the free cantilever, respectively;  $F_{st}$  is the tip-sample interaction force, and it is mostly attributed by the Hertzian contact force.  $F_{st}$  can be expressed as [48]:

$$F_{st}(z) = \frac{4}{3}E^* \sqrt{R}(a_0 - z - z_c)^{\frac{3}{2}}$$
(5)

with

$$\frac{1}{E^*} = \frac{(1 - v_t^2)}{E_t} + \frac{(1 - v_s^2)}{E_s}$$
(6)

where *R* is the radius of the tip,  $E^*$  is the effective Young's modulus of the tip-sample contact system.  $E^*$  is related to the Young's modulus of the tip ( $E_t$ ) and sample ( $E_s$ ), and the Poisson's ratio of the tip ( $v_t$ ) and sample ( $v_s$ ).  $z_c$  is the equilibrium position of the cantilever. From Eqs. (2) and (3), it is obvious that  $F_{st}$  is related to the sample's mechanical properties. The system can be simplified by a damping harmonic oscillator (DHO) model [40,48] driven by the amplitude ( $A_d$ ) and phase ( $\phi_d$ ) from the sample surface. In this case, the driving forces are transferred to a spring ( $k^*$ ) and a dashpot (c) model in the system as showed in Fig. 7. The spring constant,  $k^*$ , is related to  $E^*$  by the following relation [50].

$$k^* = 2E^* r_c \tag{7}$$

where  $r_c$  is the radius of the contact area in the Hertz indentation model.  $f_0$  is closely related to the ratio between the contact stiffness and the stiffness of the free cantilever  $(k^*/k_c)$ . When  $k^*/k_c$  increases,  $f_0/f_{0,c}$ (the ratio between the  $f_0$  and the free cantilever frequency,  $f_{0,c}$ ,) shifts from the free vibration to the clamped one; when the  $k^*/k_c$  value is over 100,  $f_0/f_{0,c}$  arises significantly [48]. The relation between  $f_0$ and  $k^*$  is:

$$\frac{f_0}{f_{0,c}} = \sqrt{\frac{k_c + k^*}{k_c}}$$
(8)

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**Fig. 7.** A schematic diagram showing the tip-sample oscillating system: the forces between the tip and the sample surface can be represented by the spring  $k^*$ , and the damping can by represented by the dashpot *c*.  $m_t$  is the effective mass of the tip.  $k^*$  and *c* are related to the contact resonance frequency ( $f_0$ ) and quality factor (Q), respectively.  $A_d$  and  $\phi_d$  are the driving amplitude and phase from the sample surface, respectively.

In the earlier studies of the constitutive model for ferroelectric materials, it was found that the work-hardening effects should not be neglected [51]. A small hardening rate exists during the bias cycle processes. In this study, it is found that the contact resonance frequencies of ferroelectric materials increase constantly under the repetitive cyclic field (Fig. 5), this phenomenon may be related to the hardening effects in the ferroelectric materials. At macroscale, the ferroelectric fatigue behavior proves the hardening effect. The magnitude of the electrically-induced strain in the aged ferroelectric material is noticeably lower than that in the pristine one [52]. Combined with the analysis of the one-cycle results and ten-cycle results, it is believed that the hardening of ferroelectric materials is a non-linear process. On the other hand, for non-ferroelectric materials, the  $f_0$  changes randomly with the poling voltage, which indicates no hardening processes, even though PFS measurements can get a similar hysteresis loop. The variations of  $f_0$  in the ferroelectric materials and the non-ferroelectric materials were also observed by using CR-AFM [53], which are similar to that tracked by PFS.

From the experimental analysis and analysis based on Eqs.(3) to (5), it can be concluded that the increase of  $f_0$  indicates the increase of the Young's modulus of the sample ( $E_s$ ). Eventually, these variations affect the  $F_{st}$  as shown in the Eq.(1); hence, the oscillation of the cantilever is changed. The change of the sample's mechanical property may be caused by the small change of the materials structure during domain evolution. Highland et al. reported that the lattice parameter reaches a minimum when the polarization is switched in ferroelectric PbTiO<sub>3</sub> [54]. A smaller lattice may cause a higher stiffness, which may contribute to the instantaneous peak of  $f_0$  in the PFS measurements for ferroelectric materials. Qin et al. also reported that the stress field may cause deviation of atoms from their ideal sites and change the lattice parameter for nanocrystalline materials [55]. It is therefore believed that this sharp increase is likely caused by an instantaneous increase of the Young's modulus of the sample at the moment when new domain is nucleated.

In this work, one of the materials, ZnO, should be further discussed in particular. ZnO is not a traditional ferroelectric material. However, domain switching and PFS hysteresis loop were observed in our previous work [56]. During the PFS measurements, not all of the points can obtain the hysteresis loop. However, at the locations where the hysteresis loop can be detected,  $f_0$  changes similarly as that in the traditional ferroelectric materials. It is presumed that ZnO in thin film shape possess ferroelectricity, but the domain motion insider the thin film may not be as stable as that in the typical ferroelectric materials. By analyzing the variation of the contact resonance frequency in the PFS measurements, it is believed that the ferroelectric behavior in ZnO is clear but more complicated than that in the traditional ferroelectric materials.

# SUMMARY AND CONCLUSION REMARKS

In summary, this study has investigated the variation of the contact resonance frequency during the polarization switching in the PFS measurements. Two groups of materials, including four

ferroelectric materials (PZN-PT, PVDF-Ag, BFO, doped ZnO), two non-ferroelectric materials (glass and banana peel) which have hysteresis loops, and an additional group of two non-ferroelectric materials (PMMA and Si) which have no hysteresis loops, were studied by PFS experiments. The PFS measurements have been conducted at (i) different DFWs; (ii) at off-field and on-field; and (iii) under multiple PFS cycles. In addition, the effect of the DHO fitting from the DART experiments has also been analyzed. The results have proved that the  $f_0$ -based method to differentiate ferroelectric materials and non-ferroelectric materials is very robust and effective. The variation of contact resonance frequency may be induced by the hardening effects during domain evolution in the ferroelectric materials. Therefore, an important feature of the ferroelectric behavior at nano- to micro-scales has been pointed out here, which can be considered as a new method to differentiate the real ferroelectric hysteresis loops from the ferroelectric-like loops of the non-ferroelectric materials during the PFS measurements. This study has also presented a new direction to characterize the ferroelectric responses and to decouple the contributing factors in the PFS measurements. In principle, the contact resonance frequency can be quantitatively interpreted into the stiffness or even Young's modulus if the value of the instantaneously high  $f_0$  value is curate enough. It is believed that, with the advances of the technique of signal tracking and processing during the SPM measurements, the signal of the contact resonance frequency will reveal more characteristics and properties of ferroelectric materials in the future. We further speculate that it is possible to help to characterize the domain switching dynamics in the ferroelectric materials.

# ASSOCIATED CONTENT

# **Supporting Information**

 $A_1, f_1$ , DHO calculated  $\phi_d, A_1/A_2$  ratio and DFW of all the samples based on the data from the PFS measurements. Piezoresponse and amplitude of PZN-PT, BFO and glass at different DFWs. Tuned peaks of PZN-PT, BFO and glass. *PR-f*<sub>0</sub> curves at different locations. Definition of tracking errors. Normalized

tracking errors of PZN-PT, BFO, and glass. The relationship between TE and the peak height of  $f_0$  on PZN-PT and BFO. PFS maps and statistical results on PZN-PT and BFO. *PR-f\_0* loops with various sampling points.

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# **Author Contributions**

The manuscript was written through contributions of all authors. YL performed all of the numerical analysis and wrote this manuscript. Other authors were contributed to the large amount of PFM and PFS experiments on various materials and discussions about the results and manuscript. All authors have given approval to the final version of the manuscript.

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# Notes

The authors declare no competing financial interest.

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## ABBREVIATIONS

PFS, Piezoresponse Force Spectroscopy; PFM, Piezoresponse Force Microscopy; P-E, polarizationelectric; SS-PFM, switching spectroscopy Piezoresponse Force Microscopy; CR-AFM, contact resonance Atomic Force Microscopy; SHG, second harmonic generation; cKPFM, contact Kelvin Probe Force Microscopy; DHO, damping harmonic oscillator; SPM, Scanning Probe Microscopy; DART, dual AC resonance tracking; DFW, DART frequency width; BE, band excitation; SNR, signal to noise ratio; AFAM, Atomic Force Acoustic Microscopy; AFM, Atomic Force Microscopy; PR, piezoresponse; FWHM, full width at half maximum; TE, tracking error; PZN-PT, Pb(Zn<sub>1/3</sub>Nb<sub>2/3</sub>)O<sub>3</sub>–9%PbTiO<sub>3</sub>; CR-FM, contact resonance frequency Atomic Force Microscopy; PVDF-Ag, hybrid polymeric-metallic; BFO, BiFeO<sub>3</sub>; PMMA, Poly(methyl methacrylate).

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# Highlight

- Significant differences in contact resonances during DART-PFS measurements in ferro- and non-ferroelectric materials.
- A new unique feature for the ferroelectric materials during the DART-PFS • measurement.
- An analysis to differentiate the responses from the ferroelectric and ۲ non-ferroelectric materials

# Variation of Contact Resonance Frequency during Domain Switching in DART-PFM Measurements for Ferroelectric Materials

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Journal Pre-proof

The authors declare no competing financial interest.

Journal Prevention